

Allens Supplier Code of Conduct

Allens aims to uphold the highest standards of quality and integrity, and to ensure that our procurement practices are ethical, and environmentally and socially responsible. We support and respect the protection of internationally proclaimed human rights and we are committed to providing a fair and safe workplace, where our employees are treated with dignity and respect. As a purchaser of products and services, we also aim to reduce adverse impacts and identify opportunities within our supply chain to drive positive social and environmental change.

1 Our expectations

Suppliers must:

- (a) comply with the minimum standards set out in this Supplier Code of Conduct (**Code**); and
- (b) take reasonable steps to ensure that the Supplier's associated entities, sub-contractors and suppliers who are involved in the provision of products and services to or on behalf of Allens, also understand and comply with the minimum standards in this Code.

We encourage Suppliers to demonstrate a process of continuous improvement and exceed these standards where possible.

Supplier means any organisation or individual that provides a product or service or undertakes an activity for or on behalf of Allens or its associated entities, either directly or indirectly.

Worker includes employees, agents or contractors who work for or with a Supplier.

2 Our commitments

This Code takes into account and reflects our commitment as a signatory to the United Nations Global Compact, to operating responsibly in line with the Compact's ten principles relating to human rights, labour, environment and anti-corruption.

We also seek opportunities to support Indigenous-owned businesses and the employment of Aboriginal and Torres Strait Islander people in line with commitments made in our Reconciliation Action Plan and through our membership of Supply Nation.

3 Governance and ethical business practices

We expect Suppliers to conduct their business in a lawful, ethical and fair manner.

At a minimum, Suppliers must comply with the following:

- (a) **Comply with laws.** Suppliers must comply with all applicable laws, rules and regulations.
- (b) **Unethical and illegal business practices must be prevented.** Suppliers must prevent unethical and illegal business practices including fraud, tax evasion, money laundering, insider trading, bribery, corruption and other improper payments, benefits or gifts.
- (c) **Conflicts of interest must be avoided.** Suppliers must avoid conflicts of interest in their work with us and must immediately disclose any known family or other close personal relationships with our employees who have an influence over their engagements with us.
- (d) **Privacy and confidentiality must be protected.** Suppliers must protect personal data and confidential information and comply with all applicable laws relating to data protection and privacy.

4 Human rights and labour practices

We expect Suppliers to support and uphold human rights and not be complicit in human rights abuses.

4.1 Human rights

At a minimum, Suppliers must comply with the following:

- (a) **All work must be voluntary.** Suppliers must not employ or use any form of forced, bonded or compulsory labour, or engage in other forms of slavery or human trafficking and must take appropriate steps to ensure that no such labour is used in their supply chain. Workers must not be required to –
 - (i) surrender any government issued identification, passport or work permit or other personal document as a condition of employment; or
 - (ii) pay employers' or agents' recruitment or other fees.
- (b) **Child labour must not be used.** Suppliers must comply with the minimum age for employment in the relevant country or as defined by International Labour Organization (ILO) instruments, whichever is higher. Workers under 18 years of age must not perform work that is likely to jeopardize their health or safety, including night shifts and overtime, and work must not interfere with their education or be harmful to their physical, mental, social or moral development.
- (c) **Freedom of association and the right to collective bargaining must be respected.** Workers must be permitted to associate freely, bargain collectively and seek representation in accordance with applicable laws and regulations. Suppliers must also provide Workers with a mechanism to confidentially report grievances without fear of penalty, reprisal or harassment.
- (d) **Fair wages must be paid.** Workers must be compensated in accordance with all applicable laws including those relating to minimum wages, overtime and legally mandated benefits.
- (e) **Fair working hours must be observed.** Suppliers must comply with all applicable laws and regulations relating to working hours, days of rest and overtime.

4.2 Labour and workplace practices

At a minimum, Suppliers must comply with the following:

- (a) **Workers must be treated with respect and dignity.** Suppliers must prohibit and not condone the use of physical, verbal, sexual or psychological harassment, abuse or other forms of intimidation of Workers.
- (b) **Workers must be treated equally.** Suppliers must prohibit discrimination in the workplace and in all employment decisions including those relating to recruitment, remuneration and promotion on grounds including race, age, disability, colour, nationality, cultural background, gender, gender identity and expression, sexual orientation, marital or family status, pregnancy, religion, union membership or political belief.
- (c) **Working conditions must be safe and healthy.** Suppliers must –
 - (i) comply with all applicable health and safety laws and regulations relating to their products, services and operations;
 - (ii) provide Workers and visitors with a safe and healthy working environment and maintain safe systems of work; and
 - (iii) provide Workers with appropriate tools, equipment and training to carry out their duties.

We encourage Suppliers to demonstrate a commitment to diversity and inclusion, including in relation to gender, gender identity, sexual orientation, disability and cultural diversity.

5 Environmental management

At a minimum, Suppliers must comply with the following:

- (a) **Comply with environmental laws.** Suppliers must comply with all applicable laws and regulations relating to environmental protection, management and reporting, and obtain, maintain, keep current and comply with necessary environmental permits, approvals and registrations.
- (b) **Adverse impacts must be identified and minimised.** Suppliers must maintain an environmental management plan that identifies the Supplier's material environmental impacts, including impacts relating to greenhouse gas emissions, pollution and waste, and actions to minimise these impacts, including the efficient use of energy, water and natural resources and the use of clean technologies.
- (c) **Environmental responsibility must be promoted.** Suppliers must act to avoid or minimise the material impacts of the Supplier's products and services throughout their lifecycle.

We encourage Suppliers to identify opportunities and innovative solutions to reduce the lifecycle impacts of products and services provided to or on behalf of Allens, including with respect to energy efficiency, recycling, use of recycled content and sustainable packaging.

6 Supplier diversity and inclusion

Allens promotes supplier diversity and economic inclusion by identifying opportunities to support and work with Suppliers owned by underrepresented groups including Indigenous-owned businesses and social enterprises.

We encourage Suppliers to promote inclusion and diversity within their own supply chains.

7 Compliance and remediation

- (a) Suppliers must:
 - (i) monitor compliance with this Code;
 - (ii) notify us as soon as reasonably practicable of any actual or suspected breach; and
 - (iii) take prompt and reasonable steps to address, remedy and prevent repetition of any breach.
- (b) We reserve the right to:
 - (i) verify compliance with this Code and Suppliers must cooperate and provide supporting evidence as we may reasonably require. This may include completion of self-assessment questionnaires, requests for further information and documentation, site visits or audits by us or our agents; and
 - (ii) terminate our business relationship with the Supplier in the event of ongoing or repeated breaches.
- (c) Suppliers must ensure that there is no sub-contracting of material obligations, unless approved by us.
- (d) We may amend this Code from time to time to reflect legal and regulatory changes and changes in industry best practice.

8 Information and notification

If you would like to raise a concern or notify us of an actual or suspected breach of this Code, please contact us at ResponsibleSourcing@allens.com.au

9 Declaration

Please arrange for a duly authorised representative of the Supplier to complete and sign this declaration and return it to ResponsibleSourcing@allens.com.au

I have read and fully understood the Allens Supplier Code of Conduct and declare that

_____ (name of Supplier)
meets the requirements and fully complies with the commitments in the Code.

Name of authorised person _____

Position of authorised person _____

Signature of authorised person _____

Date _____

Document administration

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