

CASE STUDY

Reset of compliance function: *Benchmarking*

CLIENT OUTCOME

Comfort that their compliance function is aligned to industry best practice and guided by independent recommendations.

SUCCESS FACTOR

Specific and realistic recommendations based on a broader business understanding.

This exercise needed the team to go beyond the usual gap analysis and benchmarking exercise. Having spent time and effort understanding the specific needs of the team, their stakeholders and the broader business, we were able to recommend prioritised and actionable steps that the legal and executive team could take to reset their compliance program.

SCOPE OF WORK

A roadmap, view of top 10 priorities, and recommended changes to the compliance function.

Anti-Bribery and Corruption; Fraud; Sanctions; Privacy; Insider trading; Continuous disclosure; Business Human Rights and Conflict of interest.